

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:
Bruc K. Gist et al.

Serial No.: 09/707,433

Group Art Unit: N/A

Filing Date: 7 November 2000

Examiner: N/A

For: SELF-AUTHENTICATION OF VALUE DOCUMENTS USING DIGITAL
SIGNATURES

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

S I R:

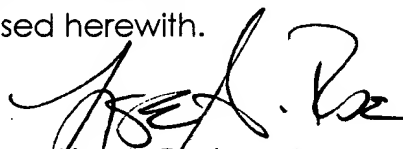
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application--Filing Date Granted" dated **17 January 2001**, a response to which is due **17 March 2001**, enclosed herewith for filing is:

The original Declaration and Power of Attorney, executed by the inventors. An unexecuted Declaration and Power of Attorney was filed with the above-identified application via Express Mail No. **EG 275 977 719 US** on **7 November 2001**.

Authorization to charge payment of the surcharge in the amount of \$130.00 to Deposit Account No. 19-3790 as set forth in 37 C.F.R. 1.16(e). A duplicate copy of this sheet is enclosed herewith.

Date: March 15, 2001

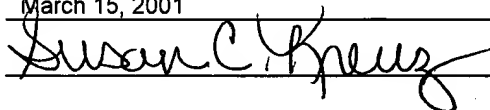

Lise A. Rode
Registration No. 37,226

Unisys Corporation
Unisys Way, MS/E8-114
Blue Bell, Pennsylvania 19424-0001
Ph.: (215) 986-5169

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **19-3790** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231 on:

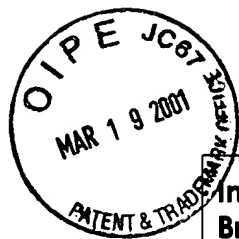
March 15, 2001



DOCKET NO: PM021

PATENT

Receipt
#8



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Bruce K. Geist et al.	
Serial No.: 09/707,433	Group Art Unit: 2132
Filing Date: 7 November 2001	Examiner: N/A
For: SELF-AUTHENTICATION OF VALUE DOCUMENTS USING DIGITAL SIGNATURES	

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington DC 20231

RECEIVED

JUL 18 2001

Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

- Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested.
- There is an error with respect to the following data that is:
☒ incorrectly entered; and/or
☐ omitted.

Error in	Correct data
1. Applicant's name:	1.
2. Applicant's address:	2.
3. Title:	3.
4. Filing Date: 10/07/2000	4. 11/07/2001 - See copy of Return Postcard
5. Serial Number:	5.

6. Foreign/PCT Application Re:	6.
7. Other:	7.

3. (complete the following applicable item A or B)

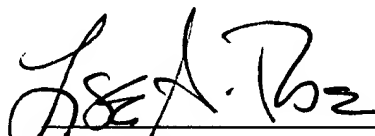
- A. ☒ The correction(s) is/are not due to any error by applicant and no fee is due.

OR

- B. ☐ At least one of the above corrections is due to applicant's error and the fee therefore under 37 CFR 1.19(h) of \$25.00 is paid as follows:

- ☐ A check in the amount of \$25.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 19-3790.
- ☐ Please charge the surcharge to my Deposit Account No. 19-3790 in the amount of \$25.00.

Dated: March 15, 2001


Lise A. Rode
Registration No. 37,226

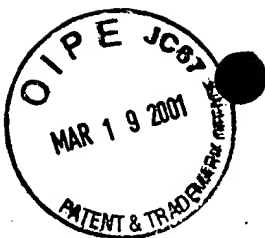
UNISYS CORPORATION
Unisys Way, MS/E8-114
Blue Bell, Pennsylvania 19424-0001
(215) 986-5169

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 19-3790 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

March 15, 2001





UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED Page 1 of 3
JUL 18 2001
Technology Center 2100

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/707,433	10/07/2000 11	2132	854	PM021	13	28	3

Lise A Rode
Unisys Corporation
Unisys Way MS E8-114
Blue Bell, PA 19424

FILING RECEIPT




OC000000005684561

Date Mailed: 01/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Bruce K. Geist, Residence Not Provided;
Thomas D. Hayosh, Residence Not Provided;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/17/2001

Title

Self-authentication of value documents using digital signatures

Preliminary Class

380

Data entry by : BRUNSON, MONIQUE

Team : OIPE

Date: 01/17/2001



RECEIVED

JAN 23 2001

LISE A. RODE

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

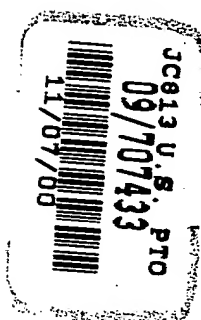
Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

THIS PAGE BLANK (USPTO)



PM021 USA



RECEIVED BY THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Paper: Patent Appln. Trans. (dup.); Cert. Exp. Mail;
Specification (52 pgs.); Unexecuted Dec/ROA;
13 Sheets Informal Dwggs; IDS. (2 pages); 1449;
7 Refs.

Applicant(s): Bruce K. Geist et al.

Serial No.: To Be Assigned

Filed: Herewith - November 7, 2000

Docket No.: PM021

Date Sent: November 7, 2000

LAR/sk

RECEIVED

NOV 17 2000

Patent Department

11/17/00



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 9716

SERIAL NUMBER 09/707,433	FILING DATE 11/07/2000 RULE	CLASS 380	GROUP ART UNIT 2132	ATTORNEY DOCKET NO. PM021
APPLICANTS Bruce K. Giest, Sterling Heights, MI; Thomas D. Hayosh, Bloomfield Hills, MI;				
** CONTINUING DATA ***** ** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 01/17/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature _____ Initials _____		STATE OR COUNTRY MI	SHEETS DRAWING 13	TOTAL CLAIMS 28
INDEPENDENT CLAIMS 3				
ADDRESS Lise A Rode Unisys Corporation Unisys Way MS E8-114 Blue Bell ,PA 19424				
TITLE Self-authentication of value documents using digital signatures				
FILING FEE RECEIVED 3110	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	